

DOCKET NO.: AED-0003

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Matthew Barrer

Confirmation No.: Not Yet Assigned

Application No.: 10/734,220

Group Art Unit: Not Yet Assigned

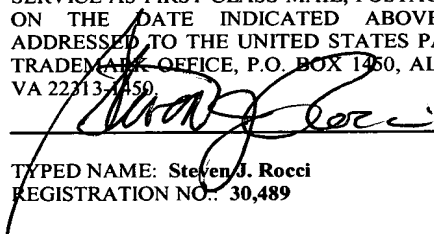
Filing Date: December 15, 2003

Examiner: Not Yet Assigned

For: **METHOD OF IMPLEMENTING A CARDIAC EMERGENCY READINESS PROGRAM**

DATE OF DEPOSIT: JANUARY 3, 2007

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.


TYPED NAME: Steven J. Rocci
REGISTRATION NO.: 30,489

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **1 thru 37** listed on the attached Form PTO-1449 are enclosed herewith.

- ☐ Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

DOCKET NO.: AED-0003

- 3 -

PATENT

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date:

January 3, 2007



Steven J. Rocci
Registration No. 30,489

WOODCOCK WASHBURN LLP
Cira Centre
2929 Arch Street, 12th Floor
Philadelphia, PA 19104-2891
Telephone: (215) 568-3100
Facsimile: (215) 568-3439

© 2007 WW



Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. AED-0003	Application No. 10/734,220
		Applicant Matthew Barrer	
		Filing Date December 15, 2003	Group Not Yet Assigned
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	1	"Links for Life: How We can Help You take Action-Setting up a Health Safety Program at a Golf Club"- Brief Article, <i>Golf Digest</i> , October 1999 , 1 page	
	2	Internet Archive Wayback Machine- Searched for http://www.avantech-heartsafe.com , 2001 , 1 page	
	3	"Create a HEART SAFE ZONE with Life Saving Tools for Life Saving Teams", AvanTech, Inc., 1998 , 2 pages, http://web.archive.org	
	4	Thompson, R.I., "AEDs: Are You Following Best Practices", Seton Identification and Safety Experts, 2003 , 4 pages, http://www.seton.com	
	5	"The Right Thing to Do-The Gillette Company's Workplace Defibrillation Program", Compliant Best Practices & Case Studies, Gillette Company, 2003 , 4 pages, http://web.archive.org	
	6	Sarkis, K., "New Technology puts these Lifesaving Devices into the Hands of your Employees", 2004 , 4 pages, http://occupationalhazards.com	
	7	"Compliant Launches New Emergency Medical Response System", <i>PR Newswire</i> , May 8, 2000 , http://proquest.umi.com , 3 pages	
	8	Internet Archive Wayback Machine- Searched for http://www.compliant.com , 2001 , 1 page	
	9	Trademark Electronic Search System (TESS), Word Mark-COMPLIMENT, 2 pages, 2004	
	10	Riddle, K.D., "AEDs Increase in Las Vegas, Hotels", 1998 , 2 pages	
EXAMINER		DATE CONSIDERED	

Form PTO-1449 Modified		Docket No. AED-0003	Application No. 10/734,220
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Matthew Barrer	
U.S. Department of Commerce Patent and Trademark Office		Filing Date December 15, 2003	Group Not Yet Assigned
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	11	Becker, L. et al., "Public Locations of Cardiac Arrest: Implications for Public Access Defibrillation", <i>1998, American Heart Association</i> , 4 pages	
	12	"Saving Lives, Automated External Defibrillators give New Meaning to the Phrase "Customer Service", <i>Boyd Gaming</i> , 1997 , 24-29	
	13	Versweyveld, L., "Compliant's New Emergency Medical Response System to Cover 95% of Workplace Injuries", <i>Virtual Medical Worlds</i> , 2000 , 3 pages	
	14	Feld, F., "Editorial: Are You Ready for Sudden Cardiac Death?", <i>Journal of Athletic Training</i> , 2001 , 36(2), 3 pages	
	15	Pearson, T.A. et al., "American Heart Association Guide for Improving Cardiovascular Health at the Community Level", <i>Circulation</i> , 2003 , 107, 645-651	
	16	Awar, M.M. et al., "Advanced Cardiac Life Support-Reviewing Recommendations from the AHA Guidelines", <i>CME Geriatrics, The Heart</i> , 2003 , 58(11), 8 pages	
	17	"NEJM Reports Two Studies Proving Portable Defibrillators Save Lives when Quickly used by Trained Non-Medical Responders", 2000 , 3 pages, http://wwwp.medtronic.com	
	18	Valenzuela, T.D. et al., "Outcomes of Rapid Defibrillation by Security Officers after Cardiac Arrest in Casinos", <i>The New England Journal of Medicine</i> , 2000 , 343, 12 pages	
	19	Herry, S. et al., "Public Use of Automated External Defibrillators", <i>The New England Journal of Medicine</i> , 2002 , 8 pages	
	20	"Public Access Defibrillation: the Ghost and the Machine", Vermont Department of Health-Agency of Human Services, 2001 , 3 pages	
EXAMINER		DATE CONSIDERED	

Form PTO-1449 Modified		Docket No. AED-0003	Application No. 10/734,220
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Matthew Barrer	
U.S. Department of Commerce Patent and Trademark Office		Filing Date December 15, 2003	Group Not Yet Assigned
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	21	Thompson, R.I., "Beyond Compliance: Implementing a Life-Saving AED Program", <i>Compliance Magazine</i> , January 2002 , 1 page, www.compliment.com	
	22	Grady, D., "Defibrillators Let Lay People Save Lives", October 26, 2000 , New York Times, Inc., padl.org articles, 4 pages	
	23	Kapes, B.A., "New England Journal of Medicine Study Supports AED Use", 2000 , 3 pages	
	24	Opalack, C., "Operation Heartsaver: Proving Safety Programs have a Pulse in the Rail Industry", <i>Compliant</i> , www.compliant.com , 2 pages	
	25	Obertots, R., "Medical Emergency Preparedness Check List for Professional Meeting Planners", <i>Medical Meetings</i> , July 1, 2000 , www.medicalmeetings.net , 2 pages	
	26	Chinappi, A., "Code Blue: Are You Prepared?", <i>Medical Meetings</i> , August 1, 2000 , www.medicalmeetings.net , 63-66	
	27	www.meetingmed.com , December 1, 1999 , 1 page Home page	
	28	www.safemeetings.com , October 12, 1999 , Site is currently under construction, November 28, 2006	
	29	Fitzenberger, J., "State Gives Law Officers Defibrilators to Save more Lives", <i>Start Tribune</i> , December 31, 1998 , 4 pages	
	30	"Amtrak Couples with Compliant to Save Train Passenger Lives", <i>PR Newswire</i> , September 25, 2000 , 2 pages	
EXAMINER		DATE CONSIDERED	

Form PTO-1449 Modified		Docket No. AED-0003	Application No. 10/734,220
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Matthew Barrer	
U.S. Department of Commerce Patent and Trademark Office		Filing Date December 15, 2003	Group Not Yet Assigned
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	31	Tadger, R., "Better Medicine: Health Care Providers look to the Net", <i>Informationweek</i> , March 6, 2000 , 128-134	
	32	"Heartstream: Mirage Resorts Equips Security Personnel with Life-Saving Defibrillators for Treating Victims of Sudden Cardiac Arrest", <i>BusinessWire</i> , 1999 Publication cannot be located	
	33	Cate, M., "First Aid: Frontline Safety Bets on Heart Device", <i>Nashville Business Journal</i> , December 1, 2000 , 1 page	
	34	Dallas American Red Cross, www.archive.org/redcrossdallas.org , August 23, 2000-January 1, 2001, Page is no longer available	
	35	Premedics: Corporate AED Solutions. Equipment, Training, and Program Services, www.premedics.com , Home Page, 2001 , 1 page	
	36	www.compliant.com , Web Page is unavailable	
	37	StarCite™ OnVantage, www.onvantage.com , Home Page, 2006 , 1 page	
EXAMINER		DATE CONSIDERED	